PTO/SB/21 (09-04) (AW 10/2004)

Approved for use through 7/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 10

\	<u> </u>	
Application Number	10/542,409	
Filing Date	July 14, 2005	
First Named Inventor	Yumiko Kato, et al.	
Art Unit	3713	
Examiner Name	To Be Assigned	
Attorney Docket No.	MTS-3576US	

ENCLOSURES (Check all that apply)				
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allo to TC	wance Communication	
Amendment/Reply After Final	Petition Petition to Convert to a	of Appea	ommunication to Board is and Interferences ommunication to TC	
Affidavits/Declaration(s) Extension of Time Request	Provisional Application Power of Attorney, Revocation, Change of Correspondence	Brief)	Notice, Brief, Reply ry Information	
Express Abandonment Request	Address Terminal Disclaimer	Status Le	etter closure(s) (please	
Information Disclosure Statement Certified Copy of Priority Document(s)	Request for Refund CD, Number of CD(s) Landscape Table on CD	Postcard	elow): Return , PTO/SB/08a, 2 es w/translations	
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks:			
SIGNATU	IRE OF APPLICANT, ATTORNEY OR AG	ENT		
Firm Name RatherPrestia Signature Printed Name Lawrence E. Ashery	lef			
Date November 6, 2006	Registration No.	34,515		
CERTIFICATE OF TRANSMISSION / MAILING				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:				
Signature			- 	
Typed or Printed Name Beth Johnson		Date Novem	ber 6, 2006	
	/			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

MTS-3576US PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

10/542,409 Y. KATO et al.

Filed:

July 14, 2005

Title:

BROADCAST RECEIVING METHOD, BROADCAST RECEIVING SYSTEM,.

RECORDING MEDIUM, AND PROGRAM (as amended)

TC/A.U.:

3713

Examiner:

To be assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a form submitted herewith. Copies of the references listed are enclosed

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following references are not in the English language:

- 1) JP 09-186943
- 2) JP 2002-010207

References 1) and 2) include and English abstract and a machine English translation.

MTS-3576US PATENT

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action on the merits. No first Official Action on the merits has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted

Lawrence E. Ashery, Reg. No. 34,547 Attorney for Applicants

LEA/bj

Enclosures: PTO/SB/08a

2 References Transmittal Form

Dated: November 6, 2006

P.O. Box 980 Valley Forge, PA 19482 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or: November 6, 2006.

Beth Johnson

80984



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known		
Application Number	10/542,409	
Filing Date	July 14, 2005	
First Named Inventor	Yumiko Kato, et al.	
Art Unit	3713	
Examiner Name	To Be Assigned	
Attorney Docket No.	MTS-3576US	

	U.S. PATENT DOCUMENTS				
		Document Number			Pages, Columns, Lines, Where
Examiner Initials*	Cite No.1	Number - Kind Code ^{2 (if known)}	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known) Publication Date (MM-DD-YYYY)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
Examiner Initials*	Cite No.1					
		JP 09-186943 (w/English Abstract and machine English translation)	07-15-1997	CANON INC.		
		JP 2002-010207 (w/ English abstract AND MACHINE English translation)	01-11-2002	MATSUSHITA ELECTRIC IND. CO., LTD.		

Examiner	Date	
Signature	Considered	4 1

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.